





PATENT

IN THE UNITED STATES PATER TRADEMARK OFFICE

In re application of: Jono et al., Keiji

Application No.: 09/652,550

Filed: 08/31/2000

Group No.: 2811

Examiner: Unassigned

#3 122701 Hollman

For: Methods of Forming an Isolation Trench in a Semiconductor, Methods of Forming an Isolation Trench in a Surface of a Silicon Wafer, Methods of Forming an Isolation Trench-Isolated Transistor, Trench-Isolated Transistor, Trench Isolation Structures Formed in a Semiconductor, Memory Cells and DRAM

Box Missing Part Assistant Commissioner for Patents Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS —NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed October 13, 2000.

A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: Nov. 8, 2000

FACSIMILE

transmitted by facsimile to the Patent and Trademark Office.

Signature

ROBIN SALDIVIA

(type or print name of person certifying)

(Completion of Filing Requirements—Nonprovisional Application—page 1 of 3 - KM1-001.MP1)

COMPLETION FEES

II.

1. Surcharge Fee

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. 1.16(e))

\$130.00

2. Assignment (See "ASSIGNMENT COVER SHEET".)

\$40.00

Total completion fees

\$170.00

TOTAL FEE DUE

III. The total fee due is:

Completion fees \$170.00

Total Fee Due \$170.00

PAYMENT OF FEES

IV. Enclosed is a check in the amount of \$170.00.

A duplicate of this request is attached.

Please charge Account No. 23-0925 for any fees that may be due by this paper.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

V. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 23-0925.

37 C.F.R. 1.16(a), (f) or (g) (filing fees)

37 C.F.R. 1.16(b), (c) and (d) (presentation of extra claims)

37 C.F.R. 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

(Completion of Filing Requirements—Nonprovisional Application—page 2 of 3)

37 C.F.R. 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a))

37 C.F.R. 1.17 (application processing fees)

Nov. 4, 2000

Date

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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/652,550

08/31/2000

Keiji Jono

KM1-001

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Date Mailed: 10/13/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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